7, 11

### RELATIVISTIC DISKOSEISMOLOGY. II. ANALYTICAL RESULTS FOR c-MODES

ALEXANDER S. SILBERGLEIT<sup>1</sup>
Gravity Probe B, Stanford University, Stanford, CA 94305-4085

### ROBERT V. WAGONER<sup>2</sup>

Department of Physics and Center for Space Science and Astrophysics, Stanford University, Stanford, CA 94305-4060; and Institute for Theoretical Physics, University of California at Santa Barbara

#### AND

### Manuel Ortega-Rodríguez<sup>3</sup>

Department of Applied Physics and Gravity Probe B, Stanford University, Stanford, CA 94305-4090

Received 2000 April 7; accepted 2000 October 11

#### ABSTRACT

We first briefly review how we investigate the modes of oscillation trapped within the inner region of accretion disks by the strong-field gravitational properties of a black hole (or a compact, weakly magnetized neutron star). Then we focus on the "corrugation" (c-) modes, nearly incompressible perturbations of the inner disk. The fundamental c-modes have eigenfrequencies (ordered by radial mode number) which correspond to the Lense-Thirring frequency, evaluated at the outer trapping radius of the mode, in the slow rotation limit. This trapping radius is a decreasing function of the black hole angular momentum, so a significant portion of the disk is modulated only for slowly rotating black holes. The eigenfrequencies are thus strongly increasing functions of black hole angular momentum. The dependence of the eigenfrequencies on the speed of sound (or the luminosity) within the disk is very weak, except for slowly rotating black holes.

Subject headings: accretion, accretion disks — black hole physics — gravitation — hydrodynamics — relativity

#### 1. INTRODUCTION

For 20 years (beginning with Kato & Fukue 1980), it has been known that general relativity can trap normal modes of oscillation near the inner edge of accretion disks around black holes. The strong gravitational fields that are required can also be produced by neutron stars that are sufficiently compact (with a soft equation of state) and weakly magnetized to produce a gap between the surface of the star and the innermost stable orbit of the accretion disk. Although we shall not explicitly consider such neutron stars here, the results obtained will also apply to them to first order in the dimensionless angular momentum parameter  $a = cJ/GM^2$ , since their exterior metric is identical to that of a black hole to that order.

These modes of oscillation provide a potentially powerful probe of both strong gravitational fields and the physics of accretion disks, since they do not exist in Newtonian gravity. In addition, their frequencies depend upon the angular momentum as well as the mass of the black hole. The fractional frequency spread of each mode depends upon the elusive viscosity parameter of the accretion disk.

The subject of "relativistic diskoseismology" has recently been reviewed by Wagoner (1999). In this paper we shall focus on the "corrugation" (c-) modes, previously studied (less generally) by Kato (1990, 1993), Ipser (1994, 1996), and Perez (1993). We shall briefly compare these modes with the "gravity" (g-) modes and the pressure (p-) modes.

### 2. BASIC ASSUMPTIONS AND EQUATIONS

2.1. Structure of the Unperturbed Accretion Disk We take c = 1, and express all distances in units of

 $GM/c^2$  and all frequencies in units of  $c^3/GM$  (where M is the mass of the central body) unless otherwise indicated. We employ the Kerr metric to study a thin accretion disk, neglecting its self-gravity. The stationary  $(\partial/\partial t=0)$ , symmetric about the midplane z=0, and axially symmetric  $(\partial/\partial \varphi=0)$  equilibrium disk is taken to be described by the standard relativistic thin accretion disk model (Novikov & Thorne 1973; Page & Thorne 1974). The velocity components  $v^r=v^z=0$ , and the disk semithickness  $h(r)\sim c_s/\Omega \ll r$ , where  $c_s(r,z)$  is the speed of sound. The key frequencies, associated with free-particle orbits in the disk, are

$$\Omega(r) = (r^{3/2} + a)^{-1} ,$$

$$\Omega_{\perp}(r) = \Omega(r)(1 - 4a/r^{3/2} + 3a^2/r^2)^{1/2} ,$$

$$\kappa(r) = \Omega(r)(1 - 6/r + 8a/r^{3/2} - 3a^2/r^2)^{1/2} ,$$
(1)

the rotational, vertical epicyclic, and radial epicyclic angular velocities, respectively. The angular momentum parameter a is less than unity in absolute value.

The inner edge of the disk is at approximately the radius of the last stable free-particle circular orbit  $r = r_i(a)$ , where the epicyclic frequency  $\kappa(r_i) = 0$ . This radius  $r_i(a)$  is a decreasing function of a, from  $r_i(-1) = 9$  through  $r_i(0) = 6$  to  $r_i(1) = 1$ . So all the relations we use are for  $r > r_i$ , where  $\kappa(r) > 0$ . Note, in particular, that

$$\Omega(r) > \Omega_{\perp}(r) > \kappa(r)$$
,  $a > 0$ ;  
 $\Omega_{\perp}(r) > \Omega(r) > \kappa(r)$ ,  $a < 0$ . (2)

For a = 0, i.e., a nonrotating disk,  $\Omega(r) = \Omega_1(r) > \kappa(r)$ .

To simplify the analysis, we here consider mostly barotropic disks  $[p = p(\rho)]$ , vanishing buoyancy frequency; a generalization to a small nonzero buoyancy is described briefly in § 4.3]. In this case hydrostatic equilibrium

gleit@relgyro.stanford.edu.
 wagoner@stanford.edu.

<sup>3</sup> manuel@leland.stanford.edu.

where

$$\begin{split} \delta_1 &= \delta_1(f)(r,\,y) = \frac{\Gamma - 1}{2\Gamma} \\ &\times \left\{ \frac{2\Gamma - 1}{\Gamma - 1} f' - \left(\frac{1}{y^2} - 1\right)(f' - yf'') - \frac{1}{\omega_*^2} \right. \\ &\quad \times \left[ \left( 3 - \frac{1}{y^2} \right) f' + \left( 5y + \frac{1}{y} \right) f'' - (1 - y^2) f''' \right] \right\}, \\ \delta_2 &= \delta_2(f)(r,\,y) = \frac{\Gamma - 1}{2y} \\ &\quad \times \left\{ f' + \frac{\Gamma - 1}{\omega_*^2 \Gamma} \left[ \frac{1}{y^2} f' - \left(\frac{1}{y} + y\right) f'' + 0.5(1 - y^2) f''' \right] \right\} \end{split}$$

Here and below the prime denotes a derivative with respect to y, and it is easy to check that the above expressions remain finite at the midplane y = 0 because f(r, y) is even in y. According to definition (7),  $\chi(r) = 1 - \Psi(r)/\omega_{\star}^{2}(r)$ , and it is small for the studied c-mode, so there are three possible situations depending on how the new small corrections compare to it. If the buoyancy-induced terms  $\delta_1$  and  $\delta_2$  are much smaller than  $\chi$ , i.e., than our basic small parameter  $\epsilon$ from equality (9), then nothing changes in the main approximation. In the opposite case the very existence of the modes we are looking for is questionable, and the whole theory should be changed essentially. Thus the only interesting possibility is when both perturbations are of the same order. This assumption can always be made for a given degree of buoyancy. In such a case by the same standard technique of discrete spectrum perturbations we obtain, instead of equations (10), the form

$$\chi = \chi(r, a, m, j, \Gamma) = \chi_1 \epsilon(r) + \tilde{\chi}_1 + \cdots,$$
 (23)

where  $\chi_1(m, j, \Gamma)$  is still given by equation (14) and m, j, and  $\Gamma$  are related by the selection rule (eq. [12]). We obtain

$$\tilde{\chi}_{1} = \tilde{\chi}_{1}(r, a, m, j, \Gamma) = \frac{\langle (1 - y^{2})\delta_{2}(f)V^{0}, V^{0} \rangle - (\Gamma - 1)(2m^{2})^{-1}}{\langle \delta_{1}(f)(1 - y^{2})[V^{0}]', V^{0} \rangle} \cdot \frac{\langle \delta_{1}(f)(1 - y^{2})[V^{0}]', V^{0} \rangle}{\langle (1 - y^{2})V^{0}, V^{0} \rangle} .$$
(24)

When calculating this, one should replace  $\omega_*^2$  by  $m^2$  in the above expressions for  $\delta_{1,2}$ . The unperturbed eigenfunction  $V^0 = V_i^0(y)$  and the scalar product  $\langle \cdot, \cdot \rangle$  are defined in § 4.1.

As for the radial behavior of the vertical eigenvalue studied in § 4.2 for zero buoyancy, it might not change qualitatively because of the new term  $\tilde{\chi}_1$ , in which case only the value of  $r_c$ , specified now by the equation  $\chi(r_c)=0$  instead of  $\epsilon(r_c)=0$ , changes somewhat. Otherwise the whole mode capture zone changes. For instance, it turns into the interval  $(r_i, r_-)$  if  $\chi(r)$  remains positive throughout it.

To understand the results of such possible changes, as an example let us treat a buoyancy perturbation which might be considered a typical one for thin disks,

$$f(r, y) = \xi(r)y^2. \tag{25}$$

The buoyancy corrections then become (for  $m^2 = 1$ )

$$\delta_1 = \xi(r) \frac{7 - 6\Gamma}{\Gamma} y$$
,  $\delta_2 = \xi(r) \frac{\Gamma - 1}{\Gamma}$ , (26)

and the resulting expressions simplify greatly. In particular, for the fundamental c-mode equation (15) generalizes to

$$\frac{\Psi(r)}{\omega_{*}^{2}(r)} = 1 - \left[ (3\Gamma - 1)\epsilon(r) + \frac{(6\Gamma - 5)(\Gamma - 1)}{2\Gamma^{2}} \xi(r) \right] + \dots$$

$$(m^{2} = j = 1).$$
(27)

It is clear that the radial behavior depends on the value of  $\Gamma$  and on how  $\xi(r)$  compares to  $\epsilon(r)$ . We consider only non-buoyant disks in the sequel.

# 5. RADIAL EIGENVALUE PROBLEM: DETERMINING THE CAPTURE ZONE AND THE EIGENFREQUENCY

### 5.1. Formulation of the Problem

Recall that we are now dealing with the case a>0, in which case the c-modes are trapped near the inner edge of the disk,  $r_i < r < r_c$ . We require the modes to decay within  $r_c < r < r_-$ ; this requirement provides the boundary condition at  $r=r_c$  for the radial equation (5). The boundary condition at the inner edge is not easy to specify, so we employ a general linear combination,

$$\cos \theta \frac{dV_r}{dr} - \sin \theta V_r \bigg|_{r=r} = 0 , \quad -\frac{\pi}{2} < \theta \le \frac{\pi}{2} , \quad (28)$$

keeping the lack of knowledge parameterized by  $\theta \in [-\pi/2, \pi/2]$ .

In general, the boundary condition is that the Lagrangian perturbation

$$\Delta p = \omega \beta \rho \delta V + \xi^a \nabla_a p = 0 ,$$

where  $\beta = dt/d\tau$  and the displacement  $\xi \propto \nabla \delta V$  (for barotropic disks). On the midplane z = 0, where  $\nabla_z p = 0$ , this condition has the same form as equation (28). For instance, a "free boundary,"  $\nabla_r p = 0$ , thus corresponds to  $\theta = \pi/2$ .

We introduce a new independent variable

$$\tau(r) = \int_{r_t}^r \left[ \omega^2(r') - \kappa^2(r') \right] dr' , \quad \tau_c \equiv \tau(r_c) , \qquad (29)$$

which increases monotonically from zero to  $\tau_c$  when r goes from  $r_i$  to  $r_c$ , so the inverse transformation is well defined. The new variable allows us to rewrite equation (5) in the WKB form:

$$\frac{d^2V_r}{d\tau^2} + \frac{\chi_1 \epsilon \alpha^2}{\omega^2 - \kappa^2} V_r = 0 . \tag{30}$$

The boundary condition in equation (28), in terms of  $\tau$ , becomes

$$\omega^{2}(r_{i})\cos\theta \frac{dV_{r}}{d\tau} - \sin\theta V_{r}\Big|_{\tau=0} = 0.$$
 (31)

The coefficient in front of  $V_r$ , in equation (30) is obtained from its general expression (eq. [15]) to lowest order in  $\epsilon$ . For convenience, we assign a special notation to it,

$$Q(\tau) = \frac{\chi_1 \, \epsilon \alpha^2}{\omega^2 - \kappa^2} \,. \tag{32}$$

All factors here can be considered as functions of  $\tau$  via the dependence  $r = r(\tau)$  inverse to the function in equation (29).

We now need to describe the behavior of  $\alpha(r)$ , which is determined by the fact that this function is essentially the inverse speed of sound at the midplane,  $\alpha(r) \propto c_s^{-1}(r, 0)$ .

provides the vertical density and pressure profiles

$$\rho = \rho_0(r)(1 - y^2)^{1/(\Gamma - 1)} ,$$

$$p = p_0(r)(1 - y^2)^{\Gamma/(\Gamma - 1)} \quad (\Gamma > 1) .$$
(3)

The disk surfaces are at  $y = \pm 1$ , with y related to the vertical coordinate z by

$$y = \frac{z}{h(r)} \sqrt{\frac{\Gamma - 1}{2\Gamma}} .$$

The adiabatic index  $\Gamma=4/3$  within any radiation pressure dominated region of the disk, and  $\Gamma=5/3$  within any gas pressure dominated region. More information on the unperturbed disk can be found in §§ 4.3 and 5.1.

### 2.2. Equations for the Disk Perturbations

To investigate the eigenmodes of the disk oscillations, we apply the general relativistic formalism that Ipser & Lindblom (1992) developed for perturbations of purely rotating perfect fluids. Neglecting self-gravity, it allows one to express Eulerian perturbations of all physical quantities through a single function  $\delta V \propto \delta p/\rho$  which satisfies a second-order partial differential equation. Because of the stationary and axisymmetric background, the angular and time dependences are factorized out as  $\delta V = V(r, z)$ exp  $[i(m\phi + \sigma t)]$ , where  $\sigma$  is the eigenfrequency. Then the assumption of strong variation of modes in the radial direction (characteristic radial wavelength  $\lambda_{r} \ll r$ ) ensures the WKB separability of variables in the partial differential equation for the functional amplitude  $V(r, z) = V_r(r)V_r(r, y)$ . The function  $V_r$  varies slowly with r. The resulting ordinary differential equations for the vertical  $(V_v)$  and radial  $(V_v)$ eigenfunctions are (see Nowak & Wagoner 1992 and Perez et al. 1997 for details)

$$(1 - y^{2}) \frac{d^{2}V_{y}}{dy^{2}} - \frac{2y}{\Gamma - 1} \frac{dV_{y}}{dy} + \frac{2\omega_{*}^{2}}{\Gamma - 1} \times \left[ 1 + \frac{\Psi - \omega_{*}^{2}}{\omega_{*}^{2}} (1 - y^{2}) \right] V_{y} = 0 , \quad (4)$$

$$\frac{d^{2}V_{r}}{dr^{2}} - \frac{1}{(\omega^{2} - \kappa^{2})} \left[ \frac{d}{dr} (\omega^{2} - \kappa^{2}) \right] \times \frac{dV_{r}}{dr} + \alpha^{2} (\omega^{2} - \kappa^{2}) \left( 1 - \frac{\Psi}{\omega_{*}^{2}} \right) V_{r} = 0 . \quad (5)$$

The coefficient  $\alpha(r)$  coincides with  $1/c_s(r,0)$  up to a relativistic factor of order unity which varies slowly with radius.

Together with the appropriate homogeneous boundary conditions (discussed by Perez et al. 1997), these equations generate the vertical and radial eigenvalue problems, respectively. The radial conditions depend on the type of mode and its capture zone, as discussed in the following sections. The coefficient  $\alpha$ , the vertical eigenfunction  $V_y$  and eigenvalue (separation function)  $\Psi$  vary slowly with radius, as does the dimensionless ratio of the corotation frequency  $\omega(r)$  and  $\Omega_1(r)$ :

$$\omega_{\star}(r) \equiv \omega(r)/\Omega_{\perp}(r) , \quad \omega(r) \equiv \sigma + m\Omega(r) .$$
 (6)

(Note that  $\Psi$  and  $\omega_*$  depend also on the eigenfrequency  $\sigma$ .) Our goal is to determine the vertical eigenvalues  $\Psi(r)$  and the corresponding spectrum of eigenfrequencies  $\sigma$  from the two eigenvalue problems for equations (4) and (5).

### 3. CLASSIFICATION OF MODES

One can see from the radial equation (5) that a mode oscillates in a domain of  $r > r_i$  where the quantity  $(\omega^2 - \kappa^2)$   $(1 - \Psi/\omega_*^2)$  is positive. The first factor here (for  $\sigma$  in the allowed range) changes sign twice at points  $r = r_{\pm}$   $(m, a, \sigma), r_i < r_{-} \le r_{+}$ , determined by equations (1) and (6). This factor is negative between  $r_{-}$  and  $r_{+}$  and positive otherwise. In Figure 1 radii  $r_{\pm}$  are plotted as functions of  $\sigma$  for a few values of m and a. Thus the classification of modes is based on the behavior of the second factor, containing the eigenvalue.

There are essentially three possibilities, corresponding to the following types of modes:

- 1. g-modes.— $\Psi > \text{(typically } \gg) \ \omega_*^2$ ; capture zone  $r_- < r < r_+$
- 2. p-modes.— $\Psi$  < (typically  $\ll$ )  $\omega_*^2$ ; capture zone  $r_i < r < r_-$  or  $r > r_+$ .
  - 3. c-modes.— $\Psi = \omega_{\star}^2$ , at least at one value of the radius.

The capture, or trapping, zone is where the mode oscillations occur.

The g (inertial gravity) modes are centered on the radius  $r_m$  where  $r_- = r_+$ , corresponding to the maximum eigenfrequency  $|\sigma|$ . The corotating eigenfrequencies  $|\omega|$  corre-

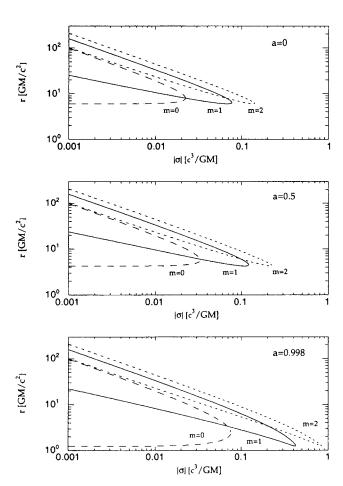


Fig. 1.—Radii between which  $\omega^2 < \kappa^2$ , as a function of the eigenfrequency. Three choices of axial mode number m and black hole (dimensionless) angular momentum a are included.

sponding to the lowest mode numbers are close to  $\kappa(r_m)$ . The p (inertial pressure) modes involve the uncertain physics at either the inner or the outer radius of the disk.

The c-modes, which have so far been investigated, are of a special sort; namely,  $\Psi - \omega_{\star}^2 \approx 0$  throughout their whole capture domain. Only such c-modes are studied in this paper. They are typically nonradial  $(m = \pm 1)$  vertically incompressible waves near the inner edge of the corotating disk that precess around the angular momentum of the black hole. Their fundamental frequency is shown below to coincide with the Lense-Thirring (Lense & Thirring 1918) frequency (produced by the dragging of inertial frames generated by the angular momentum of the black hole) at their capture zone boundary  $r_c(m, a)$  in the appropriate slowrotation limit. This interesting fact is one reason we are concentrating on the c-modes in this paper. The other reason is that g- and p-modes have been rather extensively studied by Perez et al. (1997) and Nowak & Wagoner (1991), respectively (and in earlier papers cited therein and in a brief summary of results by Wagoner 1999).

## 4. THEORY OF c-MODES AND THE LENSE-THIRRING FREQUENCY

# 4.1. Vertical Eigenvalue Problem: A Selection Rule for the Axial and Vertical Mode Numbers

According to the definition of the c-modes we are interested in, we set

$$\Psi(r)/\omega_{\star}^{2}(r) = 1 - \chi(r) , \quad |\chi(r)| \leqslant 1 , \qquad (7)$$

and write the vertical equation (4) as

$$(1-y^2)\frac{d^2V_y}{dy^2} - \frac{2y}{\Gamma-1}\frac{dV_y}{dy} + \frac{2\omega_*^2}{\Gamma-1}\left[1-\chi(1-y^2)\right]V_y = 0,$$

with the boundary condition  $|V_{\nu}(\pm 1)| < \infty$ . The last term containing the slowly varying function  $\chi(r)$  is considered a small perturbation. We then immediately see that the unperturbed equation coincides with that for the Gegenbauer polynomials (Bateman & Erdély 1953)  $C_i^{\lambda}(y)$ ,  $\lambda = (3 - \Gamma)/2(\Gamma - 1) > -1/2, j = 0, 1, 2, ...,$  which are its only solutions regular at  $y = \pm 1$ . Therefore the coefficient of  $V_{\nu}$  must coincide with the corresponding eigenvalues:  $2\omega_{+}^{2}/(\Gamma-1)=i(i+2\lambda)$ . However, the left-hand side of this equality is a (slowly varying) function of r, so it can be at best valid only "to the main order." To clarify the meaning of that, we make use of the definition of  $\omega_*$  (eq. [6]) and the fact that the difference between  $\Omega$  and  $\Omega_{\perp}$  is typically very small, except for values of a close to 1 and simultaneously r close to  $r_i \approx 1$ . So (anticipating that  $|\sigma| \leqslant \Omega_{\perp}$  in the same regime) we introduce a small parameter  $\epsilon$  by setting

$$\omega_* = \frac{\sigma + m\Omega}{\Omega_\perp} \equiv m(1 + \epsilon)$$
,

$$\epsilon = \epsilon(r, \sigma, a) = \frac{\sigma + m(\Omega - \Omega_{\perp})}{m\Omega_{\perp}}, \quad |\epsilon(r)| \ll 1, \qquad (9)$$

and expand the eigenvalue and eigenfunction in it:

$$\chi = \epsilon \chi_1 + O(\epsilon^2)$$
,  $V_{\nu} = V^0 + \epsilon V^1 + O(\epsilon^2)$ . (10)

To zero order we therefore have

$$V^{0}(y) \equiv V_{i}^{0}(y) = C_{i}^{\lambda}(y), \qquad (11)$$

and 
$$2m^2/(\Gamma - 1) = j(j + 2\lambda)$$
, or 
$$2m^2 = j^2(\Gamma - 1) + j(3 - \Gamma),$$
$$m = 0, \pm 1, \pm 2, \dots; \quad j = 0, 1, 2, \dots$$
 (12)

Note that *j* is the number of vertical eigenfunction nodes through the disk.

Equation (12) is the criterion for existence of the studied c-modes. It is also a selection rule for the angular mode numbers m and j because, depending on the value of  $\Gamma$ , the modes may not exist for any m and j. On the other hand, given a rational  $\Gamma$ , there might exist many integer values of  $m^2$  and j satisfying equation (12). (Using some results from number theory [Hua 1982], it is possible to show that eq. [12] has a finite set of solutions if and only if  $2pq = k^2$  for some integer k, with coprime p, q from  $\Gamma = 1 + p/q$ . For instance, we have an infinite sequence of c-modes for both  $\Gamma = 4/3$  and  $\Gamma = 5/3$ , but only a finite number of them if, say,  $\Gamma = 3/2$ .) However, the only solutions independent of  $\Gamma$  are j = m = 0 (which does not provide radial mode trapping and must thus be discarded) and

$$j = 1 = m^2 \,, \tag{13}$$

which specifies the fundamental c-mode. Its vertical eigenfunction to the main order is linear in the vertical coordinate,  $V_1^0 \propto y$ . Some other possible modes are  $m^2 = 4, j = 3$ ;  $m^2 = 25, j = 10$  for  $\Gamma = 4/3$ ; and  $m^2 = 16, j = 6$  for  $\Gamma = 5/3$ . To be specific, from now on we assume m > 0, a > 0, unless indicated otherwise.

When equation (12) is satisfied, the first correction  $\chi_1$  to the vertical eigenvalue is found in a standard way for the discrete spectrum perturbation, from the solvability condition of the problem for  $V^1$ ,

$$\chi_1 = \chi_1(m, j, \Gamma) = \frac{2\langle V^0, V^0 \rangle}{\langle (1 - v^2)V^0, V^0 \rangle} > 0,$$
(14)

where  $\langle \cdot, \cdot \rangle$  is the proper scalar product with the Gegenbauer polynomial weight  $(1 - y^2)^{\lambda - 1/2}$ . From equations (7) and (10), the eigenvalue is then obtained as

$$\Psi(r)/\omega_{\star}^{2}(r) = 1 - \epsilon \gamma_{1} + O(\epsilon^{2}). \tag{15}$$

The integrals in equation (14) can be expressed in terms of the Euler gamma function in the general case and are elementary to calculate for i = 1, giving

$$\chi_1 = 3\Gamma - 1 \quad (m^2 = j = 1) \ . \tag{16}$$

The vertical eigenvalue and eigenfunction for all c-modes are completely determined to the needed order by equations (10), (11), (12), (14), and (15).

# 4.2. Radial Behavior of the Vertical Eigenvalue: The Lense-Thirring Frequency at the Capture Zone Boundary Is the Eigenfrequency

We now need to study the behavior of the vertical eigenvalue as a function of the radius. From our definitions of the quantities involved, it follows that for a > 0 the product

$$\Omega_{\perp}(r)\epsilon(r) = \Omega(r) - \Omega_{\perp}(r) + (\sigma/m)$$

is a decreasing function of the radius, because such is the difference  $\Omega(r) - \Omega_1(r)$  (see the basic expressions [1]). Thus in this case  $\epsilon(r)$  has at most one root (zero) between  $r_i$  and

 $r_{\rm max}$  (the outer radius of the disk), since  $\Omega_{\perp}(r) > 0$ . If  $\epsilon(r_i) < 0$ , then  $\epsilon(r)$  remains negative in the whole range  $r_i < r < r_{\rm max}$ , or, equivalently,  $\Psi(r)/\omega_{\star}^2(r) > 1$  there. However, in such a case we are dealing, by definition, with a g-mode rather than a c-mode.

Hence we have to assume that  $\epsilon(r_i) > 0$ , which converts into a restriction on the eigenfrequency,  $\sigma + m[\Omega(r_i) - \Omega_{\perp}(r_i)] > 0$ . Then at any value of the root  $r_c$ , equation (9) gives

$$\sigma = -m[\Omega(r_c) - \Omega_1(r_c)], \quad r_i < r_c < r_-. \tag{17}$$

The second inequality here is proved in the following way. The definition of  $r_-$  given in § 3 implies  $\omega(r_-) = \pm \kappa(r_-)$ , with the plus sign for m > 0 and the minus sign for m < 0. Hence from the definition (9) of the parameter  $\epsilon(r)$  we derive, using equation (2):

$$\epsilon(r_{-}) = -1 + \frac{\omega(r_{-})}{m\Omega_{\perp}(r_{-})} = -1 + \frac{\kappa(r_{-})}{|m|\Omega_{\perp}(r_{-})} < 0$$
. (18)

So  $\epsilon(r)$  is positive at  $r = r_i$ , negative at  $r = r_-$ , and the single root  $r_c$  of this function is between these two points. Thus  $\Psi(r)/\omega_*^2(r) < 1$  for  $r_i < r < r_c$ , and  $\Psi(r)/\omega_*^2(r) > 1$  for  $r_c < r < r_-$ . So the c-mode is trapped in  $r_i < r < r_c$ , and  $r_c$  is the outer boundary of the capture zone.

Expression (17) for the c-mode eigenfrequency in the case  $m^2 = 1$  has the same structure as the result obtained by the approximate dispersion relation (Kato 1990, 1993), with a significant advantage that the value of the radius involved in it is uniquely specified as the right capture zone boundary of the mode in question. Of course, now it is also rigorously derived from the c-mode definition.

In this case  $(m^2 = 1)$ , we can think of the tilted orbits as gyroscopes which precess about the angular momentum of the black hole. The angular motion of the precession of the orbital node is always in the direction in which the black hole rotates, independent of the sign of the angular momentum of the orbit. The precession rate of the node of the tilted orbit at  $r_c$  is the eigenfrequency of the mode, given by equation (17).

Moreover, for  $r > r_i(a) \ge 1$ , |a| < 1 is smaller than any positive power of r. In particular,  $a/r^{1/2} \le 1$ , except for a very close to 1 (where some of the above considerations do not apply, anyway; e.g.,  $\epsilon(r_i) \to \infty$  as  $a \to 1$ ). Using this fact, from equations (1) and (17) we obtain

$$\sigma = -m \frac{2a}{r_c^3} \left[ 1 + O\left(\frac{a}{r_c^{1/2}}\right) \right]. \tag{19}$$

In physical units, the quantity  $2a/r_c^3$  is the Lense-Thirring frequency  $2GJ/(c^2r_c^3)$ . Thus, to lowest order in  $a/(r_c)^{1/2}$ , the c-mode eigenfrequency  $|\sigma|$  is an integer multiple of the Lense-Thirring frequency at  $r=r_c$ . In particular, the eigenfrequency of the fundamental c-mode  $(m^2=j=1)$  coincides with the Lense-Thirring frequency at its outer trapping zone boundary. For  $a/r_c^{3/2} < a/r_c^{1/2} \leqslant 1$ , the fundamental c-mode is a low-frequency oscillation.

We can now replace the eigenfrequency  $\sigma$  by its dependence on  $r_c$  given by equation (17),

$$\omega(r, r_c) = m[\Omega(r) - \Omega(r_c) + \Omega_{\perp}(r_c)],$$

$$\epsilon(r, r_c) = \frac{[\Omega(r) - \Omega_{\perp}(r)] - [\Omega(r_c) - \Omega_{\perp}(r_c)]}{\Omega_{\perp}(r)}, \quad (20)$$

and use  $r_c$  as a spectral parameter in the radial eigenvalue problem. Its eigenvalue will fix unambiguously the value of both the capture zone boundary  $r_c$  and the eigenfrequency  $\sigma$ . Unlike other recent suggestions regarding the manifestation of the Lense-Thirring effect in accretion disks around black holes (Cui, Zhang, & Chen 1998), the value of the radius corresponding to a Lense-Thirring frequency is not a (loosely constrained) parameter, but is predicted by the theory.

Note that for a<0 the product  $\Omega_{\perp}(r)\epsilon(r)$  is an increasing function of the radius, so in this case we have to require that  $\epsilon(r_i)<0$ . However, as seen from equation (18),  $\epsilon(r_{-})$  is still negative, so the single root  $r_c$  of  $\epsilon(r)$  is greater than  $r_{-}$ . In fact, it is also greater than  $r_{+}$ , because of the expression similar to equation (18),  $\epsilon(r_{+})=-1-\kappa(r_{+})/[|m|\Omega_{\perp}(r_{+})]<-1$ . Hence the capture zone is  $r_{-}< r< r_{+}$ , and again we are dealing with a g-mode rather than a c-mode. Therefore, there are no c-modes of the studied type for counter rotating disks. Accordingly, only the case of corotation is investigated in the sequel.

Finally, both the corotating and counterrotating c-modes may have, in principle, a second oscillation domain,  $r_- < r < r_+$  and  $r_+ < r_c < r$ , respectively. (Note that the coefficient of  $V_r$  in the radial equation [4] is also positive there.) These domains, however, are situated at rather large values of the radius (up to infinity in the second case). But expression (9) or (20) shows that for a given value of  $r_c$  and large r,  $\epsilon(r) \propto r^{3/2} \gg 1$ , in severe contradiction with the definitive property of the modes in question. Even  $|\epsilon(r_+)| > 1$ , as seen from the above. In effect, the c-modes of the studied type can exist only in a corotating disk and are trapped in its innermost region.

For small eigenfrequencies  $|\sigma| \leqslant \Omega$ , the mode must propagate through the disk opposite to the direction of its rotation, with the magnitude of the corotation frequency  $\omega$  almost the same as that of  $m\Omega$ . The gravitomagnetic field H generated by the angular momentum of the black hole produces a force  $F \propto v \times H$  on the disk particles in the weakfield limit. This is reflected in the Lense-Thirring frequency (19). Considering a radial Lagrangian displacement  $\Delta r$  which conserves angular momentum, we have  $\Delta v_{\phi} = -\Omega \Delta r$ . This then gives  $\Delta F_r \propto [-\Omega H_z(r) + r\Omega dH_z/dr]\Delta r \cong -4\Omega H_z(r)\Delta r$ . This force, being a restoring one for a corotating disk, changes sign for a counter rotating disk, thus eliminating radial trapping. In addition, the weakening of the force as  $a \to +0$  helps explain why a finite value of a is required for trapping, as shown in § 5.

### 4.3. Vertical Eigenvalue Problem for Slightly Buoyant Disks

The above theory can be readily extended to the case of a disk with a small buoyancy. It can be characterized by a modified pressure dependence (compare with eq. [3] and with formula [4.16] from Perez et al. 1997)

$$p = p_0(r)(1 - y^2)^{\Gamma/(\Gamma - 1)}[1 - f(r, y)], \qquad (21)$$

where the even in y and slowly varying with r perturbation f is small,  $|f(r, y)| \le 1$ . To lowest order this results in the following change of the vertical equation (8),

$$(1 - y^{2}) \frac{d^{2}V_{y}}{dy^{2}} - \left[ \frac{2y}{\Gamma - 1} + (1 - y^{2})\delta_{1} \right]$$

$$\times \frac{dV_{y}}{dy} + \frac{2\omega_{*}^{2}}{\Gamma - 1} \left[ 1 - (\chi - \delta_{2})(1 - y^{2}) \right] V_{y} = 0 , \quad (22)$$

where

$$\begin{split} \delta_1 &= \delta_1(f)(r, y) = \frac{\Gamma - 1}{2\Gamma} \\ &\times \left\{ \frac{2\Gamma - 1}{\Gamma - 1} f' - \left(\frac{1}{y^2} - 1\right)(f' - yf'') - \frac{1}{\omega_*^2} \right. \\ &\times \left[ \left(3 - \frac{1}{y^2}\right) f' + \left(5y + \frac{1}{y}\right) f'' - (1 - y^2) f''' \right] \right\}, \\ \delta_2 &= \delta_2(f)(r, y) = \frac{\Gamma - 1}{2y} \\ &\times \left\{ f' + \frac{\Gamma - 1}{\omega_*^2 \Gamma} \left[ \frac{1}{y^2} f' - \left(\frac{1}{y} + y\right) f'' + 0.5(1 - y^2) f''' \right] \right\} \end{split}$$

Here and below the prime denotes a derivative with respect to y, and it is easy to check that the above expressions remain finite at the midplane y = 0 because f(r, y) is even in y. According to definition (7),  $\chi(r) = 1 - \Psi(r)/\omega_{\star}^{2}(r)$ , and it is small for the studied c-mode, so there are three possible situations depending on how the new small corrections compare to it. If the buoyancy-induced terms  $\delta_1$  and  $\delta_2$  are much smaller than  $\chi$ , i.e., than our basic small parameter  $\epsilon$ from equality (9), then nothing changes in the main approximation. In the opposite case the very existence of the modes we are looking for is questionable, and the whole theory should be changed essentially. Thus the only interesting possibility is when both perturbations are of the same order. This assumption can always be made for a given degree of buoyancy. In such a case by the same standard technique of discrete spectrum perturbations we obtain, instead of equations (10), the form

$$\chi = \chi(r, a, m, j, \Gamma) = \chi_1 \epsilon(r) + \tilde{\chi}_1 + \cdots, \qquad (23)$$

where  $\chi_1(m, j, \Gamma)$  is still given by equation (14) and m, j, and  $\Gamma$  are related by the selection rule (eq. [12]). We obtain

$$\tilde{\chi}_1 = \tilde{\chi}_1(r, a, m, j, \Gamma) =$$

$$\frac{\langle (1-y^2)\delta_2(f)V^0, V^0\rangle - (\Gamma - 1)(2m^2)^{-1}}{\langle \delta_1(f)(1-y^2)[V^0]', V^0\rangle} \cdot (24)$$

When calculating this, one should replace  $\omega_*^2$  by  $m^2$  in the above expressions for  $\delta_{1,2}$ . The unperturbed eigenfunction  $V^0 = V_j^0(y)$  and the scalar product  $\langle \cdot, \cdot \rangle$  are defined in § 4.1.

As for the radial behavior of the vertical eigenvalue studied in § 4.2 for zero buoyancy, it might not change qualitatively because of the new term  $\tilde{\chi}_1$ , in which case only the value of  $r_c$ , specified now by the equation  $\chi(r_c) = 0$  instead of  $\epsilon(r_c) = 0$ , changes somewhat. Otherwise the whole mode capture zone changes. For instance, it turns into the interval  $(r_i, r_-)$  if  $\chi(r)$  remains positive throughout it.

To understand the results of such possible changes, as an example let us treat a buoyancy perturbation which might be considered a typical one for thin disks,

$$f(r, y) = \xi(r)y^2. \tag{25}$$

The buoyancy corrections then become (for  $m^2 = 1$ )

$$\delta_1 = \xi(r) \frac{7 - 6\Gamma}{\Gamma} y$$
,  $\delta_2 = \xi(r) \frac{\Gamma - 1}{\Gamma}$ , (26)

and the resulting expressions simplify greatly. In particular, for the fundamental c-mode equation (15) generalizes to

$$\frac{\Psi(r)}{\omega_*^2(r)} = 1 - \left[ (3\Gamma - 1)\epsilon(r) + \frac{(6\Gamma - 5)(\Gamma - 1)}{2\Gamma^2} \xi(r) \right] + \dots$$

$$(m^2 = j = 1).$$
(27)

It is clear that the radial behavior depends on the value of  $\Gamma$  and on how  $\xi(r)$  compares to  $\epsilon(r)$ . We consider only non-buoyant disks in the sequel.

## 5. RADIAL EIGENVALUE PROBLEM: DETERMINING THE CAPTURE ZONE AND THE EIGENFREQUENCY

#### 5.1. Formulation of the Problem

Recall that we are now dealing with the case a > 0, in which case the c-modes are trapped near the inner edge of the disk,  $r_i < r < r_c$ . We require the modes to decay within  $r_c < r < r_-$ ; this requirement provides the boundary condition at  $r = r_c$  for the radial equation (5). The boundary condition at the inner edge is not easy to specify, so we employ a general linear combination,

$$\cos \theta \frac{dV_r}{dr} - \sin \theta V_r \bigg|_{r=r_t} = 0 , \quad -\frac{\pi}{2} < \theta \le \frac{\pi}{2} , \quad (28)$$

keeping the lack of knowledge parameterized by  $\theta \in [-\pi/2, \pi/2]$ .

In general, the boundary condition is that the Lagrangian perturbation

$$\Delta p = \omega \beta \rho \delta V + \xi^a \nabla_a p = 0 ,$$

where  $\beta = dt/d\tau$  and the displacement  $\xi \propto \nabla \delta V$  (for barotropic disks). On the midplane z = 0, where  $\nabla_z p = 0$ , this condition has the same form as equation (28). For instance, a "free boundary,"  $\nabla_r p = 0$ , thus corresponds to  $\theta = \pi/2$ .

We introduce a new independent variable

$$\tau(r) = \int_{r_t}^{r} \left[\omega^2(r') - \kappa^2(r')\right] dr' , \quad \tau_c \equiv \tau(r_c) , \qquad (29)$$

which increases monotonically from zero to  $\tau_c$  when r goes from  $r_i$  to  $r_c$ , so the inverse transformation is well defined. The new variable allows us to rewrite equation (5) in the WKB form:

$$\frac{d^2V_r}{d\tau^2} + \frac{\chi_1 \epsilon \alpha^2}{\omega^2 - \kappa^2} V_r = 0 . \tag{30}$$

The boundary condition in equation (28), in terms of  $\tau$ , becomes

$$\omega^{2}(r_{i})\cos\theta \left.\frac{dV_{r}}{d\tau} - \sin\theta V_{r}\right|_{\tau=0} = 0.$$
 (31)

The coefficient in front of  $V_r$  in equation (30) is obtained from its general expression (eq. [15]) to lowest order in  $\epsilon$ . For convenience, we assign a special notation to it,

$$Q(\tau) = \frac{\chi_1 \, \epsilon \alpha^2}{\omega^2 - \kappa^2} \,. \tag{32}$$

All factors here can be considered as functions of  $\tau$  via the dependence  $r = r(\tau)$  inverse to the function in equation (29).

We now need to describe the behavior of  $\alpha(r)$ , which is determined by the fact that this function is essentially the inverse speed of sound at the midplane,  $\alpha(r) \propto c_s^{-1}(r, 0)$ .

Since the pressure at the inner edge vanishes in a typical disk model  $[p \propto (r - r_i)^k$ , with  $0 < k \le 1]$  if the torque does, we obtain  $\alpha(r) \propto (r - r_i)^{-\mu}$  with  $\mu = (\Gamma - 1)k/2\Gamma$ . If even a small torque is applied to the disk at the inner edge, which is not unlikely in practice, the pressure and the speed of sound become nonzero at  $r = r_i$ . Hence  $\alpha(r)$  loses its weak singularity there. This situation is included by assigning  $\mu = 0$ .

It is also known that the speed of sound drops as some power at large radii. Incorporating all this information, we are now able to specify the structure of the function  $\alpha(r)$  as

$$\alpha(r) = \gamma(r) \frac{r^{\mu+\nu}}{(r-r)^{\mu}}, \quad 0 \le \mu < 1/2, \quad \nu \ge 0,$$
 (33)

where  $\gamma(r)$  is some function bounded from above and away from zero, varying slowly with radius, and tending at infinity to a limit  $\gamma(\infty) \equiv \gamma_{\infty}$ . The value of the latter constant is somewhat limited by the magnitude of the outer disk radius  $r_o \gg r_i$ . Indeed, as stated in § 1,

$$h(r) \sim c_s/\Omega \sim 1/\alpha\Omega \ll r$$
,

which relation, according to equation (33), for the large outer radius converts into

$$\gamma_{\infty} \gg r_{\alpha}^{1/2-\nu}$$
.

For  $\nu > 1/2$  this inequality is not stringent; however, if  $0 \le \nu \le 1/2$ ,  $\gamma_{\infty}$  should be large enough, for a given  $r_o$ .

A typical value of  $\mu$  corresponding to a simple root (k = 1) of the pressure at  $r_i$  and the value  $\Gamma = 5/3$  is  $\mu = 1/5$ . It is also true that  $\nu < 1$  in most disk models.

Our radial eigenvalue problem is thus completely defined. Therefore we proceed to determine its eigenvalues.

### 5.2. WKB Solution of the Radial Eigenvalue Problem

We apply the WKB procedure to the eigenvalue problem specified by equations (30) and (31), and the decay condition for  $\tau > \tau_c$ . This seems to be the only effective analytical approach. It is validated partly by the fact that despite  $\epsilon(r)$  being small, the coefficient  $Q(\tau)$  is still rather large everywhere except close to  $\tau_c$  because  $\alpha(r)$  is large. This follows from equation (33), since  $\gamma \sim c/c_s$ , with c the speed of light and  $c_s(\ll c)$  the speed of sound (for estimates of the WKB approximation accuracy see Olver 1982, for example). Thus we represent the solution as

$$V_r \propto Q^{-1/4}(\tau) \cos \left[\Phi(\tau) - \Phi_c\right], \quad \Phi(\tau) = \int_0^{\tau} Q^{1/2}(\tau') d\tau'$$
 (34)

in the whole capture zone  $0 < \tau < \tau_c$ , except small vicinities of its boundaries  $\tau = 0$  and  $\tau = \tau_c$ . Here  $\Phi_c$  is a constant phase, so far unknown.

The right boundary  $\tau = \tau_c$  proves to be a turning point of equation (30). As shown in § 4.2,  $\epsilon(r)$ , and hence  $Q(\tau)$ , change sign there, being positive on the left of  $\tau_c$  and negative on the right of it, with  $\epsilon(r_c) = Q(\tau_c) = 0$ . Near  $\tau_c$  we have  $Q(\tau) = -q_c(\tau - \tau_c) + \cdots$ , with  $q_c = -Q'(\tau_c) > 0$ . Hence equation (30) reduces to the Airy equation, whose solution decaying for  $\tau > \tau_c$  is given by the Airy function of the first kind,

$$V_r \propto \operatorname{Ai} \left[ q_c^{1/3} (\tau - \tau_c) \right].$$
 (35)

Matching in the usual way the negative infinity asymptotics of the function in equation (35) with the  $\tau \to \tau_c - 0$  limit of equation (34), we obtain the following expression for the phase  $\Phi_c$  (where n is an integer related to the number of

radial nodes of the mode):

$$\Phi_c = \int_0^{\tau_c} Q^{1/2}(\tau) d\tau - \frac{\pi}{4} - \pi n \ . \tag{36}$$

Now, close to the left boundary  $\tau = 0$ , according to equations (32), (33), and (29), the coefficient  $Q(\tau)$  has a weak singularity,

$$Q(\tau) = q_i \tau^{-2\mu} + \dots, \quad \tau \to +0 ,$$
  
$$q_i(r_c, a) \equiv \chi_1 \gamma^2 (r_i) r_i^{2(\mu+\nu)} |\omega(r_i)|^{2(1-\mu)} \epsilon(r_i) > 0 , \quad (37)$$

and equation (30) becomes

$$\frac{d^2 V_r}{d\tau^2} + \frac{q_i}{\tau^{2\mu}} V_r = 0 .$$

Its exact solution satisfying the boundary condition in equation (31) is given in terms of Bessel functions as

$$V_r \propto \tau^{1/2} \left[ \sin \theta \, \frac{(\lambda/2)^{-\zeta}}{\Gamma_-} \, J_{\zeta}(\lambda \tau^{1-\mu}) \right.$$

$$\left. + \omega^2(r_i) \cos \theta \, \frac{(\lambda/2)^{\zeta}}{\Gamma_+} \, J_{-\zeta}(\lambda \tau^{1-\mu}) \right], \quad (38)$$

where for brevity we have introduced the notations

$$\lambda(r_c, a) \equiv \frac{q_i^{1/2}(r_c, a)}{1 - \mu}, \quad \zeta \equiv \frac{1}{2(1 - \mu)}, \quad \Gamma_{\pm} \equiv \Gamma_E(1 \pm \zeta).$$
 (39)

 $[\Gamma_{\rm E}(z)]$  is the Euler gamma function.] Using the large argument expressions of the Bessel functions, it is straightforward to write the "far field"  $(\lambda \tau^{1-\mu} \gg 1)$  asymptotics of the solution (38) as

$$V_r \propto \tau^{\mu/2} \cos \left( \lambda \tau^{1-\mu} - \frac{\pi}{4} + \Phi_i \right),$$
 (40)

where

$$\Phi_{i}(r_{c}, a) \equiv \arctan\left(\frac{Z_{-}}{Z_{+}} \tan \frac{\pi \zeta}{2}\right),$$

$$Z_{\pm}(r_{c}, a) \equiv \frac{\Gamma_{-}}{\Gamma_{+}} \omega^{2}(r_{i}) \left(\frac{\lambda}{2}\right)^{2\zeta} \cos \theta \pm \sin \theta.$$
 (41)

The last step is to match the asymptotic expression (40) with the  $\tau \to +0$  limit of the WKB solution (34). In view of equation (37), the latter is easily found to be

$$V_r \propto \tau^{\mu/2} \cos \left(\lambda \tau^{1-\mu} - \Phi_c\right)$$

so the match requires that

$$\Phi_c + \Phi_i = \frac{\pi}{4} .$$

Employing equation (36), we then arrive at the desired eigenvalue equation,

$$\Phi_i + \int_0^{\tau_c} Q^{1/2}(\tau) d\tau = \pi(n+1/2) . \tag{42}$$

For a given set of all the parameters, including a and n, any solution  $\tau_c$  of this equation specifies the corresponding c-mode boundary  $r_c$  according to equations (20) and (29). The eigenfrequency  $\sigma$  of the mode is then found from  $r_c$  by

the general formula (17). So we now study the roots  $\tau_c$  of equation (42).

5.3. The c-Mode Eigenfrequency Spectrum Is at Most Finite

It is now convenient to work directly with  $r_c$  rather than with  $\tau_c$ . So first of all we rewrite the eigenfrequency equation (42) in the following form:

$$\mathscr{F}(r_c, a) \equiv \Phi(r_c, a) + I(r_c, a) = n + 1/2, \quad n = 0, 1, 2, \dots,$$
(43)

where

$$\Phi(r_c, a) \equiv \frac{1}{\pi} \Phi_i(r_c, a) , \qquad (44)$$

$$I(r_c, a) \equiv \frac{1}{\pi} \int_{r_i}^{r_c} \frac{\gamma(r)r^{\mu+\nu}}{(r-r_i)^{\mu}} \times \sqrt{\chi_1 \epsilon(r, r_c)[m^2\Omega_1^2(r) - \kappa^2(r)]} dr , \qquad (45)$$

assuming that  $0 \le \mu < 1/2$  and  $0 \le \nu < 1$ . We returned to the integration over r in equation (42), and used definition (32) of  $Q(\tau)$  and expression (33) for  $\alpha(r)$ . We have also replaced  $\omega^2(r)$  by  $m^2\Omega_\perp^2(r)$ , which is correct to lowest order in  $\epsilon(r)$  according to the definition in equation (9) of the latter parameter. [Within the studied approximation, the same should be done with  $\omega^2(r_i)$  involved in  $\Phi_i(r_c, a)$ ; see the second of equalities (41).]

The integer n in the equation (43) should not be negative for the following reason. It is straightforward to see from equation (41) that in any case  $|Z_-/Z_+| \le 1$  (recall that  $0 \le \theta \le \pi/2$ ). Hence

$$|\Phi(r_c, a)| \le \frac{1}{\pi} \arctan\left(\tan\frac{\pi\zeta}{2}\right) \le \frac{\zeta}{2} = \frac{1}{4(1-\mu)} < \frac{1}{2}.$$
 (46)

Obviously,  $I(r_c, a)$  is nonnegative for all  $r_c \ge r_i$ , therefore the whole left-hand side of equation (43) is always larger than -0.5 and thus cannot equal the right-hand side with  $n = -1, -2, \ldots$ 

For a given set of parameters (including  $m^2$  and j satisfying the selection rule in eq. [12]) and  $0 \le a \le 1$  let us now study the roots of equation (43). First of all,  $\epsilon(r) \equiv 0$  for a = 0; thus  $I(r_c, 0) = 0$ , and no roots can be found. There is no c-mode for a strictly nonrotating black hole. So the rotation parameter interval is 0 < a < 1. Then it is easy to see from the expression (20) for  $\epsilon$  and the inequalities (2) that for any  $r \ge r_i$ ,

$$\epsilon(r, r_c) < \epsilon_{\infty}(r) \equiv \lim_{r_{c \to \infty}} \epsilon(r, r_c) = \frac{\Omega(r) - \Omega_{\perp}(r)}{\Omega_{\perp}(r)}$$
 (47)

Therefore there exists a finite limit

$$\begin{split} I(\infty, a) &\equiv \lim_{r_c \to \infty} I(r_c, a) = \frac{1}{\pi} \int_{r_i}^{\infty} \frac{\gamma(r)r^{\mu + \nu}}{(r - r_i)^{\mu}} \\ &\times \sqrt{\chi_1 \epsilon_{\infty}(r)[m^2 \Omega_{\perp}^2(r) - \kappa^2(r)]} \ dr \\ &= \frac{\sqrt{\chi^2}}{\pi} \int_{r_i}^{\infty} \frac{\gamma(r)r^{\mu + \nu}}{(r - r_i)^{\mu}} \\ &\times \sqrt{\frac{[\Omega(r) - \Omega_{\perp}(r)][m^2 \Omega_{\perp}^2(r) - \kappa^2(r)]}{\Omega_{\perp}(r)}} \ dr < \infty \ . \end{split}$$

Indeed, the integral (48) converges at the upper limit because its integrand is proportional to  $r^{\nu-11/4}$  ( $m^2=1$ ) and  $r^{\nu-9/4}$  ( $m^2>1$ ) when  $r\to\infty$  (see eqs. [1]), and  $\nu$  is always less than unity. For the special case  $a=1,\Omega_\perp(r)$  has a simple root at  $r=r_i=1$ , so the singularity at the lower limit becomes  $(r-r_i)^{-(\mu+1/2)}$ . However, the integral still converges, since  $\mu<1/2$ .

Hence  $I(r_c, a)$  is a bounded function of  $r_c$  on the semiaxis, and there exists

$$I_m(a) \equiv \sup_{r_c \ge r_i} I(r_c, a) , \quad 0 < I_m(a) < +\infty .$$
 (49)

By inequality (46), we have already shown the existence of

$$\Phi_m(a) \equiv \sup_{r_c > r_i} \Phi(r_c, a) , \quad \Phi_m(a) \le 0.25(1 - \mu)^{-1} . \quad (50)$$

This implies that the left-hand side of equation (43) is a bounded function of  $r_c$ :

$$\mathscr{F}_{m}(a) \equiv \sup_{r_{c} \geq r_{i}} \mathscr{F}(r_{c}, a) ,$$

$$-0.25(1 - \mu)^{-1} < \mathscr{F}_{m}(a) < +\infty .$$
(51)

But then equation (43) has no roots for all integers  $n+1/2 > \mathscr{F}_m(a)$ , so only c-modes with the radial numbers  $0 \le n+1/2 \le \mathscr{F}_m(a)$  can exist. In other words, for any value of  $a, 0 < a \le 1$ , only c-modes with the radial numbers  $n=0,1,2,\ldots,N(a)$  can exist, with the integer N(a) satisfying  $\mathscr{F}_m(a)-1 < N(a)+1/2 \le \mathscr{F}_m(a)$ . Along with the analytic dependence of  $\mathscr{F}(r_c,a)$  on  $r_c$ , this also shows that the set of roots of equation (43) for each admissible value of n is discrete; but we actually can do much better than that.

Namely, we first recall from § 4.2 that for any positive value of a, the difference  $[\Omega(r) - \Omega_1(r)]$  is a decreasing positive function of r. Then equations (20), containing the term  $-[\Omega(r_c) - \Omega_1(r_c)]$ , show us that both  $|\omega(r, r_c)|$  and  $\epsilon(r, r_c)$  are increasing functions of  $r_c$  for any  $r \ge r_i$ . This allows us to conclude that for any  $0 < a \le 1$  the left-hand side  $\mathscr{F}(r_c, a)$  of equation (43) is an increasing function of  $r_c$ .

Indeed, from equations (41) and definitions (39) and (37) we see that for  $\theta \neq 0$ ,  $\pi/2$ , the phase  $\Phi(r_c, a)$  depends on  $r_c$  only through the combination  $|\omega(r_i, r_c)|^3 [\epsilon(r_i, r_c)]^\zeta$ , and the dependence is monotonically increasing. Since this combination is an increasing function of  $r_c$ , so is the function  $\Phi(r_c, a)$  itself. In particular, its maximum is

$$\Phi_m(a) = \Phi(\infty, a) \equiv \lim_{r_c \to \infty} \Phi(a, r_c)$$
 (52)

(see eq. [50]), whose value can easily be calculated explicitly according to equations (41), (39), (37), and (20). For the cases  $\theta = 0$ ,  $\pi/2$ , i.e., the boundary condition (28) of the second and first kind, respectively,  $\Phi$  is independent of  $r_c$  and a:

$$\Phi(r_c, a) = \pm \frac{1}{4(1-\mu)} = \text{constant},$$
(53)

and equation (52) is still formally true. Next, definition (45) shows that

$$\begin{split} \frac{\partial I(r_c, a)}{\partial r_c} &= \frac{1}{2\pi} \int_{r_i}^{r_c} \frac{\gamma(r)r^{\mu+\nu}}{(r-r_i)^{\mu}} \\ &\times \sqrt{\frac{\chi_1[m^2\Omega_{\perp}^2(r) - \kappa^2(r)]}{\epsilon(r, r_c)}} \, \frac{\partial \epsilon(r, r_c)}{\partial r_c} \, dr > 0 \; , \end{split}$$

so  $I(r_c, a)$  is also an increasing function of  $r_c$ , with the

maximum value (see eq. [49])

$$I_m(a) = I(\infty, a) \tag{54}$$

given by expression (48). Thus  $\mathscr{F}(r_c, a) = \Phi(r_c, a) + I(r_c, a)$  increases with  $r_c$  to its maximum value at  $r_c = \infty$ ,

$$\mathscr{F}_{m}(a) = \Phi_{m}(a) + I_{m}(a) = \Phi(\infty, a) + I(\infty, a) = \mathscr{F}(\infty, a).$$
(55)

Our last observation regarding  $\mathcal{F}(r_c, a)$  is that

$$-0.25(1-\mu)^{-1} \le \mathscr{F}(r_i, a) = \Phi(r_i, a) \le 0.25(1-\mu)^{-1}$$

$$< n + 1/2, \quad n = 0, 1, 2, \dots$$
 (56)

The implication of the established properties of  $\mathcal{F}(r_c, a)$  is clear and most important. Namely, for any given a (0 < a < 1), and any n = 0, 1, 2, ..., N(a), with the integer N(a) satisfying

$$\mathcal{F}_{m}(a) - 1 < N(a) + 1/2 < \mathcal{F}_{m}(a)$$
, (57)

there exists exactly one root  $r_c^{(n)}(a) \in (r_i, \infty)$  of the increasing function  $[\mathcal{F}(r_c, a) - n - 1/2]$ , i.e., of equation (43). All the roots prove to be numbered in an increasing order,

$$r_i < r_c^{(0)}(a) < r_c^{(1)}(a) < r_c^{(2)}(a) < \dots < r_c^{(N)}(a)$$
,  
 $N = N(a)$ . (58)

Turning now to the eigenfrequencies as defined through the corresponding capture zone boundaries by the general equation (17), we can reformulate our result as follows.

For any given a,  $0 < a \le 1$ , the spectrum of the studied c-modes consists of a finite number of eigenfrequencies  $\sigma^{(n)}(a)$ , n = 0, 1, 2, ..., N(a), with N(a) specified by inequalities (57). The eigenfrequencies are negative for m > 0 and positive for m < 0, and their magnitudes are always ordered as

$$|m| [\Omega(r_i) - \Omega_{\perp}(r_i)] > |\sigma^{(0)}(a)| > |\sigma^{(1)}(a)|$$
  
>  $|\sigma^{(2)}(a)| > \dots > |\sigma^{(N)}(a)| > 0$ , (59)

with N = N(a). Note that this is true for a given value of the parameter  $\Gamma$  and any angular and vertical numbers m and j satisfying the selection rule (12), so that the complete notation for the eigenfrequency is  $\sigma_m^{(n)}(a)$ .

Note also that if  $\mathscr{F}_m(a) < 1$ , then we have N(a) = 0 by the right-hand inequality in equation (57), and the only c-mode that can possibly exist is that with n = 0. On the other hand, the number N(a) is bounded for all values of  $a \in [0, 1]$  by the maximum value

$$\mathscr{F}_{\max} \equiv \max_{0 \le a \le 1} \mathscr{F}_m(a) = \mathscr{F}_m(a^*), \quad a^* \in [0, 1], \quad (60)$$

 $N(a) < \mathscr{F}_{\text{max}} - 1/2$ . So the total number of different c-modes with given angular and vertical numbers is less than  $\mathscr{F}_{\text{max}} + 1/2$  for the whole family of corotating disks which differ only by the value of the angular momentum of the central body. If in particular  $\mathscr{F}_{\text{max}} < 1$ , which can happen if the speed of sound at the midplane is everywhere sufficiently large inside the disk, then at most one c-mode with n = 0 may be excited in any disk of the family.

# 5.4. Dependence of the Spectrum on the Rotation Parameter: The Cutoff Values of a

We now change our point of view: instead of studying all the roots for a given a, we specify some  $n \ge 0$  and investi-

gate how the trapping radius  $r_c^{(n)}(a)$ , determined by

$$\mathscr{F}(r_c^{(n)}, a) = \Phi(r_c^{(n)}, a) + I(r_c^{(n)}, a) = n + 1/2, \tag{61}$$

behaves as a function of a. An intuitive expectation is that it monotonically decreases with a, but that might not be generally true. The differentiation of equation (61) gives

$$\frac{dr_c^{(n)}}{da} = -\frac{(\partial \mathcal{F}/\partial a)}{(\partial \mathcal{F}/\partial r_c)}\bigg|_{r_c = r_c^{(n)}(a)},$$

and, although the denominator here is positive, it is difficult to establish that the sign of the numerator is also always positive. Even the sign of  $\partial I/\partial a$  is not always obvious, because of many dependences on a in expression (45): the quantities  $\epsilon$ ,  $\Omega_{\perp}$ ,  $\kappa$ , and  $r_i$  all depend on a.

Nevertheless,  $I(\infty, a)$  goes to zero when  $a \to +0$  (since  $\Omega_1 \to \Omega$ ), so  $I(r_c, a) < I(\infty, a)$  goes to zero uniformly in  $r_c \ge r_i$  as well. In particular,  $I(r_c, a) < n$  for small enough positive values of a. Since the first term on the lef-hand side of equation (43) is less than 1/2 by equation (46), equation (43) has no root at all for such values of a. Therefore  $r_c^{(n)}(a)$  does not exist in some positive vicinity of a = 0.

Suppose now that  $r_c^{(n)}(a)$  does exist for some  $a_* > 0$ ; that is,  $n \le N(a_*)$ . By making the value of a smaller and smaller we would eventually find  $r_c^{(n)}(a)$  growing, to make up for the reduced value of  $I(r_c^{(n)}(a), a) < I(\infty, a) \to +0$ . Recall that for a given a,  $I(r_c, a)$  is a growing function of  $r_c$ . At a certain value  $a_n > 0$ , only  $r_c^{(n)}(a_n) = \infty$  would satisfy equation (61),

$$\mathscr{F}(\infty, a_n) = \Phi(\infty, a_n) + I(\infty, a_n) = n + 1/2. \tag{62}$$

For  $a < a_n$ , no value of the capture zone radius would satisfy it, so  $r_c^{(n)}(a)$  no longer exists.

Therefore we have proved that for any admissible radial mode number  $n \ge 0$  there exists a cutoff value  $a_n > 0$  such that  $r_c^{(n)}(a)$ , and thus the corresponding c-mode, exists for  $a > a_n$  and does not exist for  $0 \le a \le a_n$ . When  $a \to a_n + 0$ , the capture zone boundary  $r_c^{(n)}(a) \to \infty$  and the eigenfrequency  $\sigma^{(n)}(a) \to 0$ . The cutoff value is determined by equation (62). Evidently,  $a_n$  increases with n,

$$0 < a_0 < a_1 < a_2 < \dots$$

Also, the maximum radial mode number N(a) defined by the inequalities (57) is a piecewise constant function increasing by means of unit jumps at  $a = a_n$ ,  $n = 0, 1, 2, \ldots$ 

An approximate expression for the cutoff values can be obtained from equation (62) if they are small enough, so that  $a_n/r_i^{3/2}(a_n) \ll 1$ . We find that

$$a_n \approx \left[\frac{n + 0.5 + 0.25/(1 - \mu)}{I}\right]^2, \quad a_n^{1/2} \ll \sin \theta > 0,$$

$$a_n \approx \left[\frac{n + 0.5 - 0.25/(1 - \mu)}{I}\right]^2, \quad \theta = 0,$$
(63)

where

$$I = \frac{\sqrt{2\chi_1}}{\pi} \int_6^\infty \frac{\gamma(r)r^{\mu+\nu-9/4}}{(r-6)^{\mu}} \sqrt{m^2 - 1 + \frac{6}{r}} dr ,$$

$$I(\infty, a) = \sqrt{a}I + \dots$$
 (64)

We have used the fact that  $r_i(a) \approx r_i(0) = 6$  for small a, along with the useful asymptotic expressions implied by equations

(20) and (1):

$$\epsilon(r, r_c) = 2ar^{3/2} \left( \frac{1}{r^3} - \frac{1}{r_c^3} \right) + \cdots,$$

$$\epsilon(r, \infty) = \frac{2a}{r^{3/2}} + \cdots,$$

$$\omega(r, r_c) = m \left[ \frac{1}{r^{3/2}} - a \left( \frac{1}{r^3} + \frac{2}{r_c^3} \right) + \cdots \right],$$

$$m^2 \Omega_{\perp}^2 - \kappa^2 = m^2 - 1 + \frac{6}{r} + \cdots.$$
(66)

The latter, in turn, allow us to obtain, from equations (44), (41), (39), and (37), the results

$$\Phi(r_c, a) = -0.25(1 - \mu)^{-1} + O(a^{1/2(1 - \mu)}), \quad \theta \neq 0,$$
  
$$\Phi(r_c, a) = 0.25(1 - \mu)^{-1}, \quad \theta = 0.$$
 (67)

These relations, for  $r_c = \infty$ , are also used to derive formulas (63). For expressions (63) to be applicable, one essentially requires  $I \gg n$ .

The last item on our agenda is to establish the behavior of  $r_c^{(n)}(a)$  and  $\sigma^{(n)}(a)$  near the cutoff value  $a_n$ . Asymptotic calculations based on equations (61), (62), (20), and (19) allow us to find the results, which differ slightly depending on the angular number of the mode.

1. Fundamental c-mode  $(m^2 = j = 1)$ . In this case we obtain, for  $a \rightarrow a_n + 0$ ,

$$r_c^{(n)}(a) \approx C_n (a - a_n)^{-1/(7/4 - v)},$$

$$C_n = \left\{ \frac{\sqrt{12a_n (3\Gamma - 1)\gamma_\infty}}{(7/4 - v)[\Phi'(\infty, a_n) + I'(\infty, a_n)]} \right\}^{-1/(7/4 - v)}, \quad (68)$$

where a prime denotes a derivative with respect to a,  $\gamma_{\infty}$  is defined in § 5.1 (see eq. [33]), and expression (16) has been used. According to equation (19), for the eigenfrequency near its cutoff we have

$$\sigma^{(n)}(a) = \mp \frac{2a}{\left[r_c^{(n)}(a)\right]^3} \approx \mp \frac{2a_n}{C_n^3} (a - a_n)^{3/(7/4 - v)}, \quad (69)$$

and the minus (plus) sign is taken for m = +1(-1).

2. c-modes with higher axial mode numbers ( $m^2 > 1$ ). Now we find (near the cutoff  $a \rightarrow a_n + 0$ ),

$$r_c^{(n)}(a) \approx D_n(a - a_n)^{-1/(5/4 - v)} ,$$

$$D_n = \left\{ \frac{\sqrt{2a_n(m^2 - 1)\chi_1 \gamma_\infty}}{(5/4 - v)[\Phi'(\infty, a_n) + I'(\infty, a_n)]} \right\}^{1/(5/4 - v)} , \quad (70)$$

with the value of  $\chi_1$  given by equation (14). The corresponding eigenfrequency becomes

$$\sigma^{(n)}(a) = -\frac{2ma}{\left[r_c^{(n)}(a)\right]^3} \approx -\frac{2ma_n}{D_n^3} (a - a_n)^{3/(5/4 - v)} . \quad (71)$$

Recall from equation (53) that  $\Phi'(\infty, a) \equiv 0$  for  $\theta = \pi/2$ , 0, that is, for boundary conditions of the first and second kind at the inner edge of the disk. Otherwise, the expressions for the coefficients  $C_n$  and  $D_n$  can also be made more explicit if  $a_n$  is small.

Equations (68) and (70) show explicitly how  $r_c^{(n)}(a)$  goes to infinity when  $a \to a_n + 0$ . In reality, however, the value of the capture zone radius is limited by that of the outer disk radius  $r_o \gg r_i$ . As a result, the mode eigenfrequencies turn out

to be bounded away from zero by a very small number,

$$|\sigma^{(n)}(a)| > 2 |m| a/r_o^3 \sim (2 |m| a/r_i^3) \times 10^{-12}$$
  
for typical  $r_o \sim 10^4 r_i$ , (72)

and the real cutoff value  $a_n^*$  of the *n*th mode is slightly larger than  $a_n$ ,

$$a_n^* \approx a_n + (C_n/r_o)^{7/4-\nu}, \quad m^2 = j = 1,$$

$$a_n^* \approx a_n + (D_n/r_0)^{5/4-\nu}, \quad m^2 > 1$$
.

Finally, we would like to say a few words about the behavior of the studied c-modes in the limit of very rapidly corotating disks,  $a \rightarrow 1 - 0$ . It turns out that the basic assumption of our approach becomes invalid in this limit, because the (assumed small) parameter  $\epsilon$  acquires large values at the inner edge of the disk, reaching eventually to infinity when a = 1 [unless the capture zone shrinks, i.e., unless  $r_c^{(n)}(a) \rightarrow r_i(a) \rightarrow 1 + 0$  for  $a \rightarrow 1 - 0$ ]. That happens because of the fact that the denominator of expression (9) or (20) for  $\epsilon$  goes to zero at the inner edge,  $\Omega_1(r_i=1)=0$  for a = 1. However, these misfortunes occur very close to a=1, namely, for  $a>a_{\perp}\cong 0.953$ , at which value  $\Omega_{\perp}(r)$  as a function of r becomes nonmonotonic by developing a maximum at some radius close to the innermost one. Therefore our formulae and conclusions remain valid for  $0 < a \le$  $a_1$ , almost all the way up to the black hole limit a = 1.

This concludes our theory of c-mode oscillations of accretion disks. Its quantitative accuracy is limited by the WKB approximation used throughout, as in previous papers (Nowak & Wagoner 1992; Perez et al. 1997). On the other hand, its qualitative results, that is, the above properties of the c-mode spectrum and its dependence on the rotation parameter a, rest on a single assumption that the speed of sound does not drop too fast with radius, which seems to be valid for existing models of accretion disks. If, nevertheless, such a fast drop does take place (v > 5/4 in our terms; see eq. [33]), then the qualitative picture changes drastically. The set of the radial mode numbers becomes infinite, there are no cutoff values of the rotation parameter a, and the c-modes of the studied type are present in any corotating disk, no matter how slow its rotation. As it turns out, for v < 5/4 the same effect on the results is caused by using a very rough approximation of the radial eigenfunction, namely, just the Airy function (eq. [35]) throughout the whole trapping zone (Silbergleit & Wagoner 1998): in this approximation the number of the radial modes becomes infinite, and the cutoff values of parameter a disappear, so that all the modes exist for any corotating disk.

### 6. NUMERICAL RESULTS AND DISCUSSION

We now apply the above analysis to models of black hole accretion disks. The numerical results discussed below were obtained by assuming that gas pressure dominates within the disk, so that  $\Gamma = 5/3$ . However, this is only true near the inner edge  $r_i$  (justifying our choice  $\mu = 2/5$  in eq. [33] consistent with the results of Page & Thorne 1974), at radii  $r \gg r_i$ , and at all radii for luminosities  $L \ll L_{\rm Edd}$ .

Recall that the interior structure of the (zero buoyancy) accretion disk enters our formulation only through the constant  $\Gamma$  and the function  $\alpha(r)$  (not to be confused with the usual viscosity parameter, here denoted by  $\alpha_*$ ), inversely proportional to the speed of sound on the midplane and modeled by equation (33). In this equation we also chose

 $\gamma(r)$  to be independent of r. In § 4.3, we found that the properties of the modes do not change greatly when small amounts of buoyancy are introduced.

For all of the tables (but not the figures), we used  $M=10^8~M_{\odot}$ ,  $\alpha_*=0.01$ , and  $L=0.1L_{\rm Edd}$ , so we could compare our results with those of Perez (1993). For a standard thin accretion disk (Shakura & Sunyaev 1973), at large radii (where the disk is nonrelativistic and gas pressure dominates) the speed of sound is given by

$$\frac{c_s}{c} = 2.21 \times 10^{-2} \frac{(L/L_{\rm Edd})^{1/5}}{(\alpha_* M/M_{\odot})^{1/10}} \left(\frac{rc^2}{GM}\right)^{-9/20}$$

corresponding to v = 9/20 (Nowak 1992). (We are here reverting to ordinary units.) We obtain the (constant) value  $\gamma = 286$  used in equation (33) by setting  $\alpha(r) = 1/c_s(r)$  there (neglecting relativistic corrections). Since the physical conditions within the disk are more uncertain near its inner edge (but where gas pressure should also dominate), we have also used the nonrelativistic expression

$$\frac{c_s}{c} = 4.32 \times 10^{-3} \frac{(L/L_{\rm Edd})^{1/5}}{(\alpha_* M/M_{\odot})^{1/10}} \left[ \frac{c^2 (r - r_i)}{GM} \right]^{2/5}$$

$$(r \approx r_i) \quad (74)$$

there (Nowak 1992), as indicated previously. We employ equation (74) when using v = 0 in equation (33). We obtain the value  $\gamma = 713$  (again neglecting the relativistic corrections near the inner edge). The various relativistic corrections only become significant when  $a \gtrsim 0.5$ . We have only studied the fundamental c-mode  $(m^2 = j = 1)$ , but have employed various values of the radial mode number n. Throughout the tables, we use v = 0 unless otherwise indicated.

In Table 1 we present the cutoff values of a ( $a_n$ , from equation [62]; for  $a < a_n$ , the mode does not exist) for radial mode numbers n = 0, 1, 2 and two choices of the boundary condition parameter. The results for  $\theta = \pi/4$  are essentially identical to those shown for  $\theta = \pi/2$ . The results agree with the analytic expressions (63) valid for values of  $a_n \le 1$ . These results for  $\theta = \pi/2$  are extended to larger values of n in Table 2. The maximum n = 187 included

TABLE 1
CUTOFF VALUES  $(a_n)$  OF a

θ	$a_0$	$a_1$	a <sub>2</sub>
$\pi/2 \dots \dots 0 \dots \dots$	$1.4 \times 10^{-4}$	$6.1 \times 10^{-4}$	$1.4 \times 10^{-3}$
	$1.1 \times 10^{-6}$	$1.9 \times 10^{-4}$	$7.2 \times 10^{-4}$

TABLE 2

CUTOFF VALUES OF a, FOR  $\theta = \pi/2$ 

n	<i>a</i> <sub>n</sub>	n	a <sub>n</sub>	n	$a_n$
0	$1.4 \times 10^{-4}$	7	$1.0 \times 10^{-2}$ $1.3 \times 10^{-2}$	40	$2.2 \times 10^{-1}$
2	$1.4 \times 10^{-3}$	9	$1.6 \times 10^{-2}$	75	$5.1 \times 10^{-1}$
4	$4.0 \times 10^{-3}$	11	$1.9 \times 10^{-2}$ $2.3 \times 10^{-2}$	125	$7.9 \times 10^{-1}$
5	$5.8 \times 10^{-3}$	12	$2.6 \times 10^{-2}$ $6.8 \times 10^{-2}$	150	$8.7 \times 10^{-1}$

corresponds to the requirement  $a < a_{\perp} \cong 0.953$  for the validity of our analysis.

Table 3 presents values of our basic expansion parameter  $\epsilon(r_i, r_c)$ , obtained from equation (20), as a function of a for the lower radial mode numbers and two choices of boundary condition [the ellipsis dots in the table correspond to the values of parameters for which a c-mode does not exist, and  $\epsilon(r_i, r_c)$  is thus not defined]. We see that it is indeed much less than unity, as also required for our analysis. Recall that  $\epsilon(r_i, r_c) > \epsilon(r, r_c) > 0$  for all  $r_c > r > r_i$ .

The same format is used for Tables 4 and 5. The eigenfrequency  $\sigma$  (in units of  $c^3/GM$ , as usual) is presented in Table 4. We see that it is a decreasing function of the radial mode number n, more strongly for lower values of a. The corresponding radial extent  $r_c - r_i$  of the mode (in units of  $GM/c^2$ , as usual) is shown in Table 5. It increases significantly with radial mode number. We note that, except for the n = 0 case, the sensitivity of the results in Tables 3–5 to the boundary condition parameter  $\theta$  is modest.

Table 6 shows the dependence of the outer radius  $r_c$  of the capture zone on values of a near its cutoff  $a_n$ , for n=0 and  $\theta=\pi/2$  (corresponding to  $a_0=1.39\times 10^{-4}$ ). From these data, one can see that when  $\log{(a-a_0)}\lesssim -6$ , the asymptotic formula (68) holds:  $r_c \propto (a-a_0)^{-q}$ . The exponent q=0.58 is very close to that predicted, q=4/7, for v=0.

The effect of changing the behavior of the speed of sound at large radii is illustrated in Table 7. The radial size of the mode for v = 0 (from Table 5) is compared to that obtained with the choice v = 9/20, subject to the condition that the speed of sound behaves like equation (74) for small  $r - r_i$ . The table shows that the change is not significant. Correspondingly, the values of  $a_n$  shown in Table 1 are reduced by about a factor of 1.6, and the maximum value in Table 2 becomes n = 148. The values of  $\epsilon(r_i, r_c)$  in Table 3 were reduced by factors of 1.1 or less for  $a = 10^{-3}$ , and increased by factors of 1.2 or less for a = 0.5. The eigenfrequencies in Table 4 are increased by factors of up to 1.5 for  $a = 10^{-3}$ , but were unaffected for a = 0.5, as expected.

TABLE 3 Expansion Parameter  $\epsilon(r_i, r_c)$ 

		а								
n	$\theta$	10-5	10-4	10-3	10-2	10-1	0.5	0.95		
0	π/2			$7.9 \times 10^{-5}$	$3.0 \times 10^{-4}$	$1.1 \times 10^{-3}$	$3.4 \times 10^{-3}$	$1.2 \times 10^{-2}$		
0	0	$7.3 \times 10^{-7}$	$2.8 \times 10^{-6}$	$9.7 \times 10^{-6}$	$3.6 \times 10^{-5}$	$1.3 \times 10^{-4}$	$3.8 \times 10^{-4}$	$1.4 \times 10^{-3}$		
1	$\pi/2$	***		$1.3 \times 10^{-4}$	$5.7 \times 10^{-4}$	$2.2 \times 10^{-3}$	$6.6 \times 10^{-3}$	$2.4 \times 10^{-2}$		
1	0			$8.9 \times 10^{-5}$	$3.5 \times 10^{-4}$	$1.3 \times 10^{-3}$	$3.9 \times 10^{-3}$	$1.4 \times 10^{-2}$		
2	$\pi/2$				$7.7 \times 10^{-4}$	$3.2 \times 10^{-3}$	$9.2 \times 10^{-3}$	$3.5 \times 10^{-2}$		
2	0			$1.3 \times 10^{-4}$	$6.1 \times 10^{-4}$	$2.3 \times 10^{-3}$	$7.1 \times 10^{-3}$	$2.6 \times 10^{-2}$		

TABLE 4
EIGENFREQUENCIES  $-\sigma/(c^3/GM)$ 

		a							
n	θ	10-5	10-4	10-3	10-2	10-1	0.5	0.95	
0	$\pi/2$			$3.9 \times 10^{-6}$	$7.3 \times 10^{-5}$	$9.8 \times 10^{-4}$	0.010	0.12	
0	0	$4.3 \times 10^{-8}$	$7.4 \times 10^{-7}$	$8.6 \times 10^{-6}$	$9.1 \times 10^{-5}$	$1.1 \times 10^{-3}$	0.011	0.12	
1	$\pi/2$	•••		$6.8 \times 10^{-7}$	$5.5 \times 10^{-5}$	$9.1 \times 10^{-4}$	0.010	0.12	
1	0	•••		$3.2 \times 10^{-6}$	$7.0 \times 10^{-5}$	$9.7 \times 10^{-4}$	0.010	0.12	
2	$\pi/2$			•••	$4.1 \times 10^{-5}$	$8.3 \times 10^{-4}$	0.0098	0.12	
2	0			$4.1 \times 10^{-7}$	$5.2 \times 10^{-5}$	$8.9 \times 10^{-4}$	0.010	0.12	

TABLE 5
Trapping Region  $(r_c - r_i)/(GM/c^2)$ 

				а					
n	$\boldsymbol{\theta}$	10-5	10-4	10-3	10-2	10-1	0.5	0.95	
0	$\pi/2$			2.0	0.52	0.15	0.046	0.012	
0	0	1.8	0.48	0.15	0.053	0.017	0.0051	0.0014	
1	$\pi/2$			8.3	1.2	0.31	0.092	0.024	
1	0		• • •	2.5	0.62	0.18	0.054	0.014	
2	$\pi/2$				1.9	0.48	0.13	0.036	
2	0			11	1.4	0.34	0.099	0.026	

We present our major observationally relevant results in the following figures. For them, we have chosen the radial mode number n=0 and the boundary condition parameter  $\theta=\pi/2$ . (One might expect that the lowest radial mode could be the one most easily excited and with the largest net modulation.) The speed-of-sound parameter is taken to be

v = 0 for Figures 2 and 3, and v = 9/20 for Figures 4a and 4b.

In Figure 2 we plot the relation between the fundamental c-mode and g-mode eigenfrequencies (scaled by the black hole mass) and the black hole angular momentum. This illustrates the dramatically different dependence of the

TABLE 6 Capture Radius for a Near Its Lower Limit  $(n=0,\,\theta=\pi/2)$ 

$\log\left(a-a_0\right)$	$\log r_c$	$\log\left(a-a_0\right)$	$\log r_c$
<b>−5</b>	1.54	-6.75	2.57
<b>−5.25</b>	1.69	<b>–7</b>	2.72
<b>−5.5</b>	1.84	<b>-7.25</b>	2.86
<b>−5.75</b>	1.99	<b>-7.5</b>	3.00
<b>-6</b>	2.14	<i>−7.75</i>	3.15
-6.25	2.28	<b>−8</b>	3.29
<b>−6.5</b>	2.43	-8.25	3.44

TABLE 7 DEPENDENCE OF  $(r_c - r_l)/(GM/c^2)$  on  $\nu$ 

			a		
ν	n	$\theta$	10-3	0.5	
0	0	$\pi/2$	2.0	0.046	
0	0	0	0.15	0.0051	
0	1	$\pi/2$	8.3	0.092	
0	1	Ö	2.5	0.054	
9/20	0	$\pi/2$	1.9	0.053	
9/20	0	0	0.15	0.0059	
9/20	1	$\pi/2$	6.5	0.11	
9/20	1	Ó	2.3	0.062	

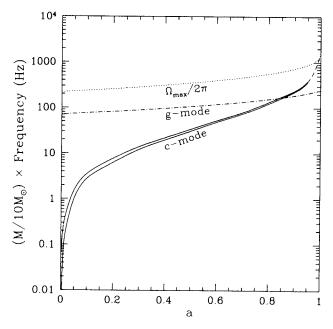


Fig. 2.—Dependence of three characteristic disk frequencies on the angular momentum of the black hole. The top one is the orbital frequency of a "blob" at the inner edge of the accretion disk. The c-mode curves bound the region corresponding to the parameter ranges  $0.001 \le L/L_{\rm Edd} \le 0.1$  and  $10 \le M/M_{\odot} \le 10^9$ , with  $\alpha_* = 0.1$ .

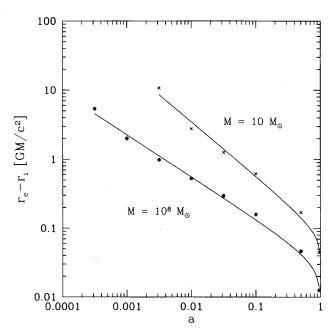


Fig. 3.—Dependence of the radial extent of the mode trapping region on the angular momentum of the black hole, choosing  $L=0.1L_{\rm Edd}$ . For  $M=10~M_{\odot}$  (crosses),  $\alpha_{\star}=0.1$ , while for  $M=10^8~M_{\odot}$  (hexagons),  $\alpha_{\star}=0.01$ . The fits are of the form  $K_0~a^{-K_1}(1-a)^{K_2}$ .

c-mode frequency. Also shown is the orbital frequency  $\Omega_{\rm max}=\Omega(r_i)$  of a (commonly invoked) "blob" at the inner disk radius. As expected, the c-mode frequency approaches  $\Omega_{\rm max}/2\pi$  as  $a\to 1$ . It is significant that the c-mode results of Perez (1993), obtained by numerical integration of equations (4) and (5), agree within the band shown in this figure (obtained via a further radial WKB approximation).

In Figure 3 we present our numerical results (points) for the size of the trapping region. The curves shown are the fits of these results to the formula

$$r_c - r_i = K_0 (GM/c^2) a^{-K_1} (1 - a)^{K_2}$$
, (75)

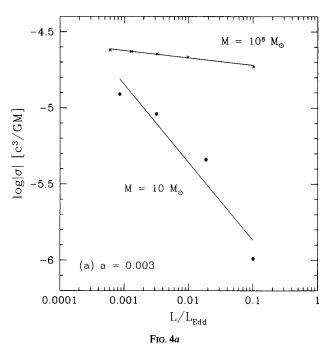
giving

$$\begin{split} K_0 &= 0.093 \;, \quad K_1 = 0.79 \;, \\ K_2 &= 0.20 \quad (M = 10 \; M_\odot) \;, \\ K_0 &= 0.033 \;, \quad K_1 = 0.61 \;, \\ K_2 &= 0.29 \quad (M = 10^8 \; M_\odot) \;. \end{split}$$

This illustrates the fact that the radial extent of the mode is only appreciable for slowly rotating black holes  $(a \le 1)$ . To obtain the corresponding eigenfrequency, one can then use equation (75) and the known function  $r_i = Mf(a)$  to obtain the value of the trapping radius  $r_c$ . Our fundamental result, equation (17) (or eq. [19] for  $a \le 1$ ) with m = -1, then gives  $|\sigma|$ .

The c-mode frequency (scaled by mass) depends only on the black hole angular momentum and the accretion disk speed of sound. The first dependence has been shown in Figure 2. Rather than showing the second dependence directly, it is more relevant to instead use an observable, the luminosity. For fixed  $\alpha_*$  and M, we use equation (73) to relate it to the speed of sound. We want to know how the frequency changes as the luminosity (proportional to the mass accretion rate) varies with time. That dependence is known to be very weak for the g-modes (Perez et al. 1997). In contrast, the m=0 fundamental p-mode has a frequency  $|\sigma| \propto c_s^{1/3}$  and a radial size  $(r_- - r_i) \propto c_s^{2/3}$  (Kato & Fukue 1980; Nowak & Wagoner 1991; Perez 1993).

We fit the dependences of the eigenfrequency on accretion disk luminosity shown by the points in Figures 4a and



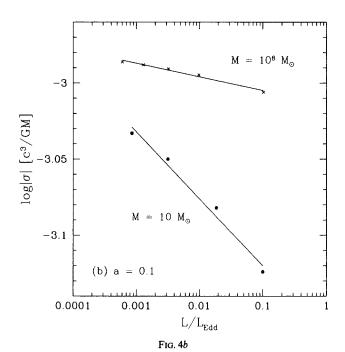


Fig. 4.—Dependence of the eigenfrequency on the accretion disk luminosity, choosing  $\alpha_{\pm} = 0.1$ . For plot a, a = 0.003 and for plot b, a = 0.1.

4b by the form  $|\sigma| \propto L^{-K(M,a)}$ . The results shown correspond to

$$\begin{split} K(10\ M_\odot,\ 0.003) &= 0.51\ ,\\ K(10^8\ M_\odot,\ 0.003) &= 0.051\ ;\\ K(10\ M_\odot,\ 0.1) &= 0.044\ ,\\ K(10^8\ M_\odot,\ 0.1) &= 0.0090\ . \end{split}$$

Note that the dependence is weaker for the larger value of a, again as expected since the properties of the disk (except its inner radius) become irrelevant as  $a \rightarrow 1$ .

Although the fundamental c-mode is almost incompressible, the changing projected area of the mode could modulate the luminosity via reflection of radiation from the postulated "corona" surrounding the accretion disk. Of course, this requires that the disk not be viewed close to face on. The observability of a c-mode-induced modulation of the detected flux would seem to require large values of  $r_c$ . This in turn would imply small values of a, from Figure 3, and correspondingly small values of frequency, from Figure 2. We then see from Figure 4a that the dependence of the frequency on luminosity is relatively weak but might be detectable for the stellar mass black holes.

Issues such as the excitation and damping of the c-modes, including their leakage into the black hole via accretion from the inner edge of the disk, are beyond the scope of this paper. However, we note that most mechanisms of excitation (such as turbulence) tend to preferentially excite modes with the fewest nodes. In addition, higher modes will produce greater amounts of cancellation of the luminosity modulation. The generation of a small imaginary part of the eigenfrequency by the effects of viscosity has been investigated by Ortega-Rodríguez & Wagoner (2000). From their

results, it is seen that the contribution of the induced radial velocity is comparable to the direct effects of viscosity, leading to a damping (or growth) rate  $1/\tau \sim \alpha_*(h/\lambda_r)^2\Omega$ .

Finally, we emphasize that of the fundamental (g, p, c) modes, only the c-mode can (generically) have a frequency  $|\sigma| \ll \Omega$ . The c-modes are candidates for those low-frequency features in the power spectra of accreting black holes whose frequency varies only weakly with changes in luminosity. An example of a candidate is the 9 Hz modulation in the "microquasar" GRO J1655-40 observed by the RXTE (Remillard et al. 1999). Since the mass of the presumed black hole in this binary has been determined to be  $M \cong 7 M_{\odot}$  (Shahbaz et al. 1999), this frequency requires a black hole angular momentum  $a \cong 0.18$  (Fig. 2) if it is produced by a low-n c-mode.

However, we see from Figure 3 that the lowest radial mode would have a radial extent of only  $0.4GM/c^2$ . (But from the results in Table 5, we expect the radial extent of the n=1 mode to be about 3 times greater.) In addition, the energy spectrum of the X-rays when this modulation was present was "softer" (more thermal) than typical. This may complicate the above proposal that the photons are modulated via disk reflection from the corona. Clearly, one should search for other radial (or vertical) modes to confirm any identification.

This work was supported by NASA grant NAS 8-39225 to Gravity Probe B and NASA grant NAG 5-3102 to R. V. W., who also thanks the Aspen Center for Physics for support during a 1999 summer workshop. We are grateful to Lev Kapitanski for finding the number theory results on the Diophantine equation (12), and to Dana Lehr for her remarks and help with the figures.

#### REFERENCES

Bateman, H., & Erdély, A. 1953, Higher Transcendental Functions, Vol. 2 (New York: McGraw-Hill)
Cui, W., Zhang, S. N., & Chen, W. 1998, ApJ, 492, L53
Hua, L. K. 1982, Introduction to Number Theory (New York: Springer)
Ipser, J. R. 1994, ApJ, 458, 508
Ipser, J. R., & Lindblom, L. 1992, ApJ, 389, 392
Kato, S. 1990, PASJ, 42, 99
———. 1993, PASJ, 45, 219
Kato, S., & Fukue, J. 1980, PASJ, 32, 377
Lense, J., & Thirring, H. 1918, Phys. Z., 19, 156
Novikov, I. D., & Thorne, K. S. 1973, in Black Holes, ed. C. DeWitt & B. S. DeWitt (New York: Gordon & Breach)
Nowak, M. A. 1992, Ph.D. thesis, Stanford Univ.
Nowak, M. A., & Wagoner, R. V. 1991, ApJ, 378, 656
———. 1992, ApJ, 393, 697

Olver, F. W. J. 1982, Introduction to Asymptotics and Special Functions (New York: Springer)
Ortega-Rodríguez, M., & Wagoner, R. V. 2000, ApJ, 537, 922
Page, D. N., & Thorne, K. S. 1974, ApJ, 191, 499
Perez, C. A. 1993, Ph.D. thesis, Stanford Univ.
Perez, C. A., Silbergleit, A. S., Wagoner, R. V., & Lehr, D. E. 1997, ApJ, 476, 589
Remillard, R. A., Morgan, E. H, McClintock, J. E., Bailyn, C. D., & Orosz, J. A. 1999, ApJ, 522, 397
Shahbaz, T., van der Hooft, T., Casares, J., Charles, P. A., & van Paradijs, J. 1999, MNRAS, 306, 89
Shakura, N. I., & Sunyaev, R. A. 1973, A&A, 24, 337
Silbergleit, A. S., & Wagoner, R. V. 2000, Proc. Third W. Fairbank Meeting, in press
Wagoner, R. V. 1999, Phys. Rep., 311, 259